



DSA Filing Services offers electronic regulatory filing on SEDAR (Canada), EDGAR (US), REGULATORY INFORMATION SERVICES (UK) and Canadian insider filing on SEDI (System for Electronic Disclosure of Insiders) and includes a DSA customized annual Disclosure Filing Calendar.

DSA Filing Services includes:

SEDAR

Electronic regulatory filing via www.sedar.com as required under Canadian continuous disclosure regulations for reporting issuers on the Toronto Stock Exchange (TSX), TSX Venture Exchange (TSX-V), Canadian National Stock Exchange (CNSX) and reporting issuers not yet listed on a Canadian exchange.

- Completion of annual, interim and other filings on SEDAR
Also SEDAR filings for:
 - News Releases
 - Oil & Gas Disclosure
 - Technical Report (NI 43-101) including Qualified Persons' Certifications & Consents
 - Notice of Meeting and Record Date for shareholder meetings including the Management Information Circular and Proxy Form
 - Change of Auditor
 - Prospectus Filing
- DSA also prepares the annual and interim Chief Executive Officer (CEO) and Chief Financial Officer (CFO) certifications, specific for each client
- Creation of basic Material Change Reports and related SEDAR filing
- Includes payment of annual SEDAR fees which issuers are required to remit to the Canadian reporting jurisdictions at the time of the annual filing. DSA provides the calculation of these fees specific to each issuer's market capitalization and reporting jurisdictions, and manages the annual payment via e-payment to the Canadian securities commissions and CDS
- DSA is also familiar with NI 71-102 filing requirements for Foreign Designated Issuers listed on the Australian Stock Exchange (ASX) and UK exchanges such as the London Stock Exchange (LSE) and the Alternative Investment Market (AIM) of the LSE

EDGAR

Electronic Regulatory Disclosure filing for US via www.sec.gov

- Posting on EDGAR in compliance with US regulations

UK REGULATORY FILING

Electronic Regulatory Disclosure filing in the UK for LSE- and AIM-listed companies via Regulatory Information Service (RIS) in accordance with the Financial Services Authority (FSA).

- Posting on UK RIS

SEDI

Canadian Insider Reporting via www.sedi.ca

- Creation of initial SEDI issuer or insider profiles and re-setting of passwords
- Filing of SEDI insider-trading activities

If you have filings to send us please use ops@dsacorp.ca or the Client File Upload portal located on our website.

